

# Machine Learning-based Prediction of global TEC and High-latitude ROTI Maps

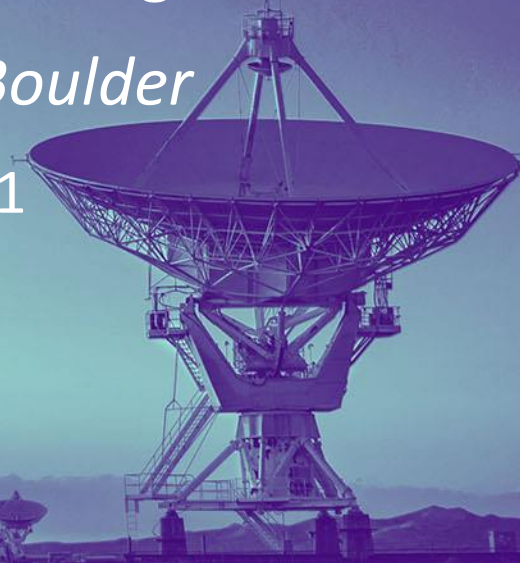
Lei Liu, Y. Jade Morton, Yunxiang Liu

*University of Colorado Boulder*

December 16<sup>th</sup>, 2021

**AGU** FALL  
MEETING

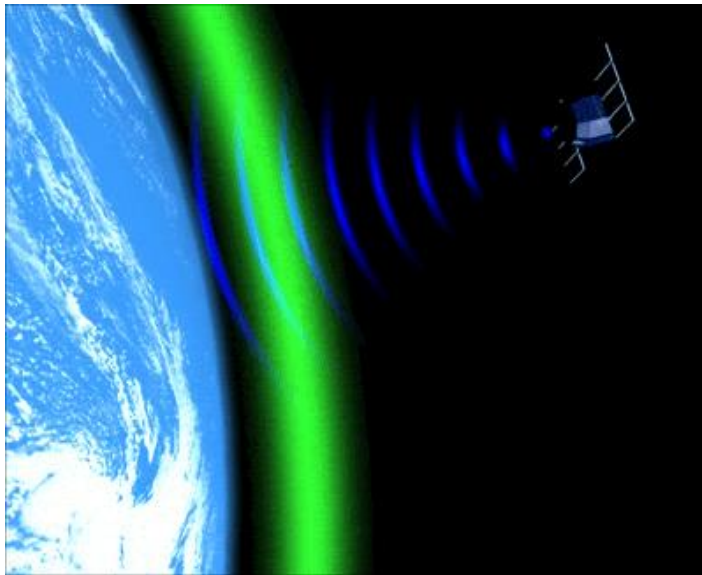
**SCIENCE**  
*is* SOCIETY



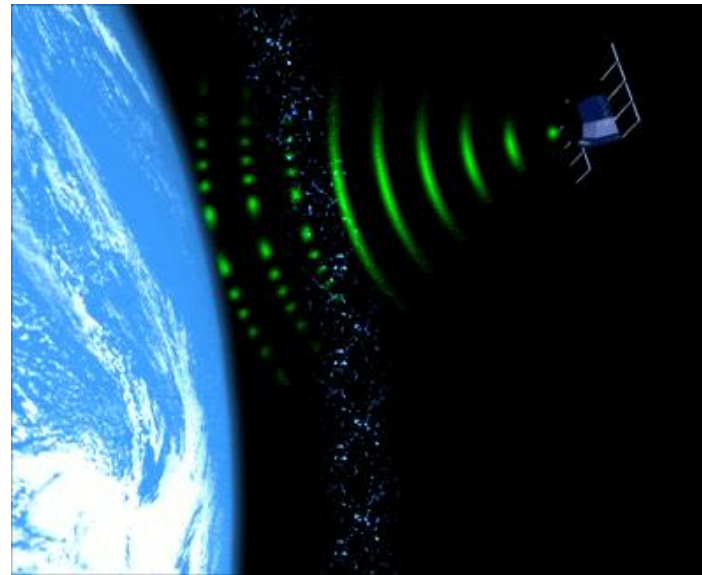
# Outline

- Background & Motivation
- Machine Learning (ML) Prediction of Ionospheric Parameters
  - Predicted indicators: TEC & ROTI
  - ConvLSTM-based ML Algorithm
- Two Applications
  - Global ionospheric TEC Forecasting
  - High-latitude ROTI maps
- Conclusion and future work

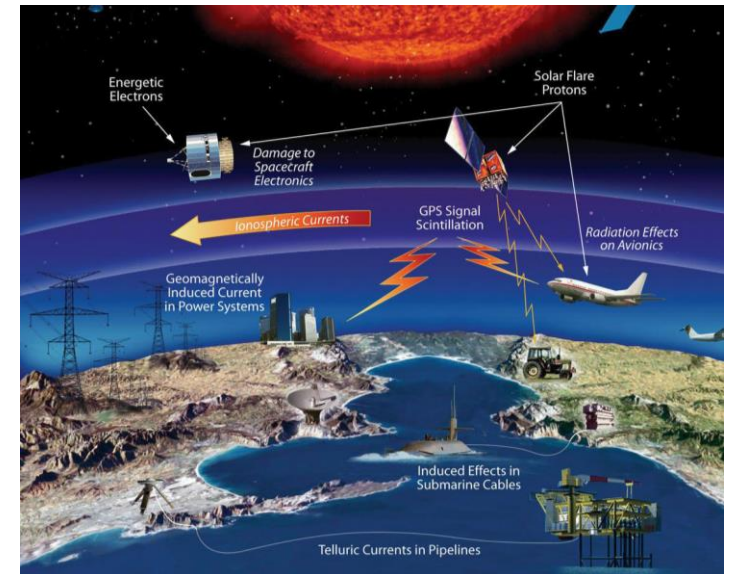
# Background & Motivation



Ionosphere **TEC** Delay



Irregularities/Scintillation: **ROTI**



Space Weather Impacts

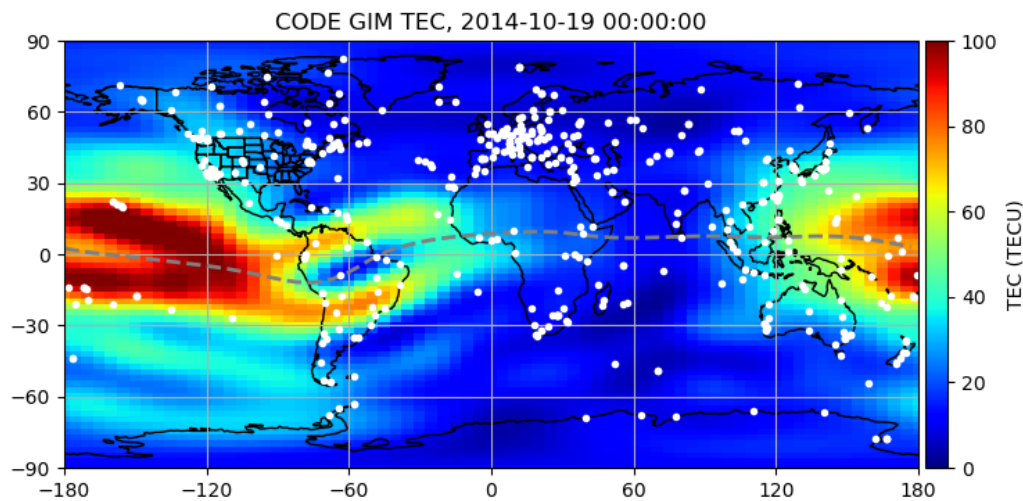
# Predicted Indicators

- **Global Ionospheric Maps (GIM)**

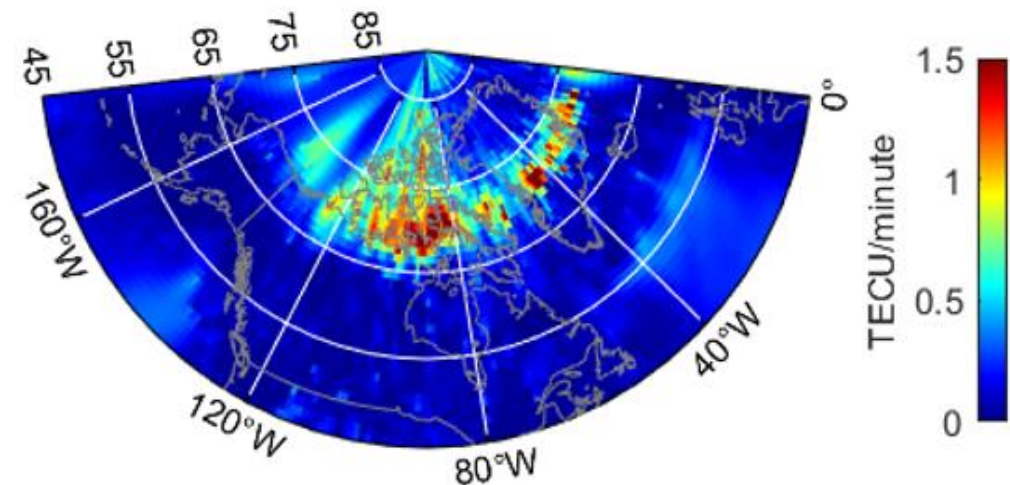
- Released by CODE ionospheric analysis center
- Resolution: 1-hour interval, 2.5° by 5°

- **Regional ROTI Maps:**

- Coverage: (45-90 N, 0-180 W)
- Data Source: UNACO and CHAIN networks
- Resolution: 10-minute interval, 1° by 1°



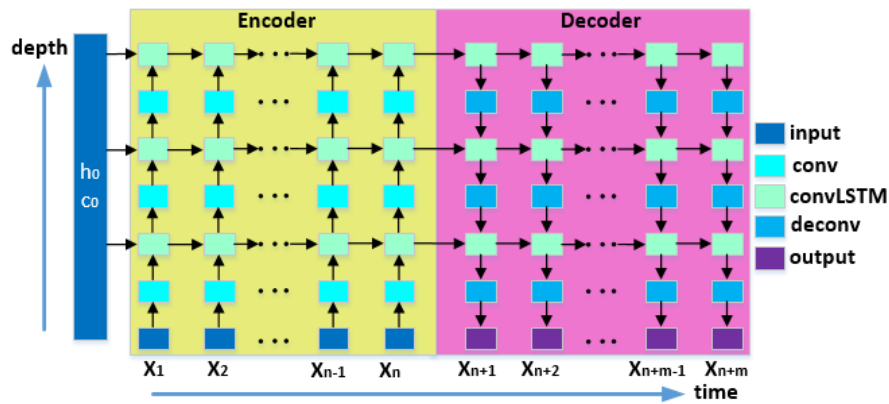
Global ionospheric TEC map



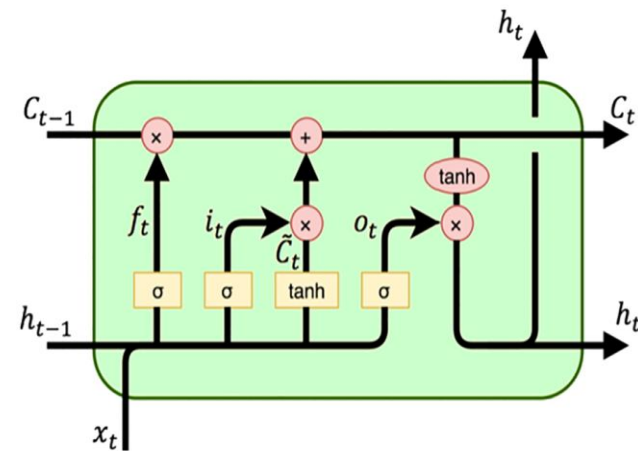
GNSS-derived ROTI map over North America

# Machine Learning (ML) Algorithm: Architecture

- Input: feed  $n$  historical maps ( $X_1, X_2, \dots, X_{n-1}, X_n$ );
- Output: predict  $m$  future maps ( $X_{n+1}, X_{n+2}, \dots, X_{n+m-1}, X_{n+m}$ )
- Encoder/Decoder Blocks
- Convolutional (conv) and deconvolutional (deconv) layers
- Core module: convolutional long short-term memory (ConvLSTM)



ConvLSTM-based machine learning architecture



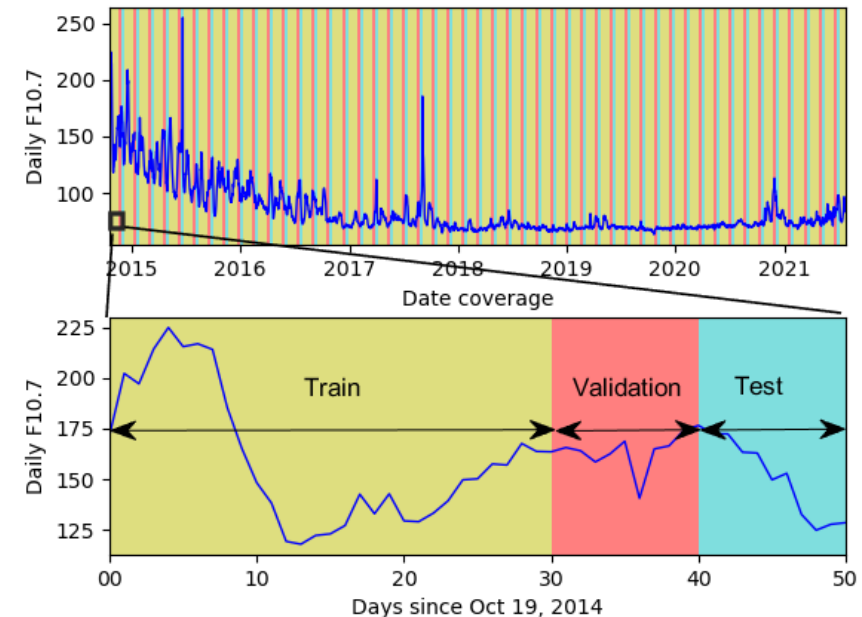
convLSTM module



# Application I: Prediction of Daily Global Ionospheric TEC Maps

- **Global TEC maps description:** 1-hour interval; 2.5° by 5°; CODE analysis center
- Data division: **solar activity levels** are considered
- **Residual prediction:**  $\hat{X}_t = TEC(t) - TEC(t - 24)$
- **Input features:** 24 historical TEC maps
- **Output features:** 24 future TEC residual maps
- **Predicted TEC maps:**  $\widehat{TEC}_t = \hat{X}_t + TEC(t - 24)$

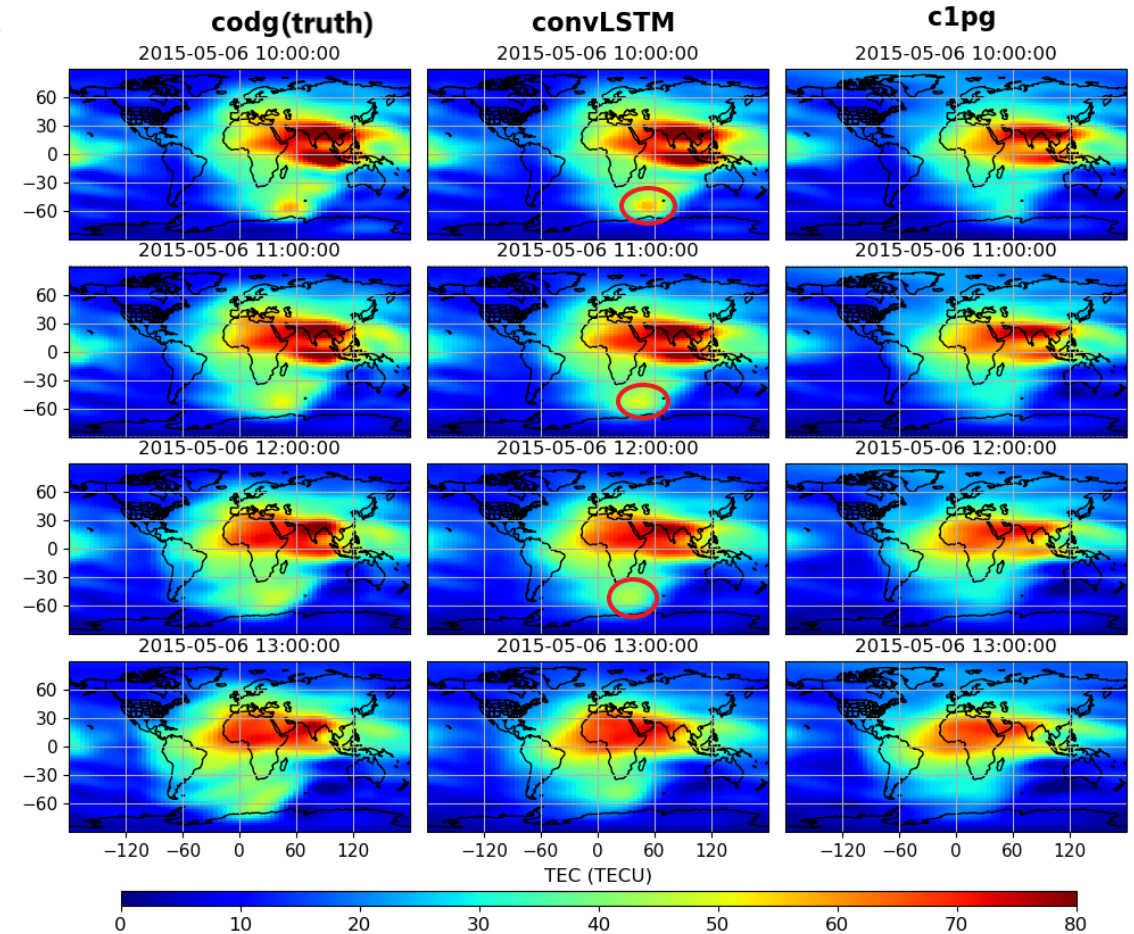
Data coverage	7 years: October 19, 2014 - July 21, 2021
Data division	Training (60%), validation (20%), and testing (20%). Data segmentation based on <b>solar activity levels</b> .
Lead time	24 hours with 1-hour interval



# Application I: A qualitative case study

- **codg**: CODE's daily GIM, which is the ground truth
- **convLSTM**: ML algorithm developed in this study
- **c1pg**: CODE'S 1-day predicted GIM

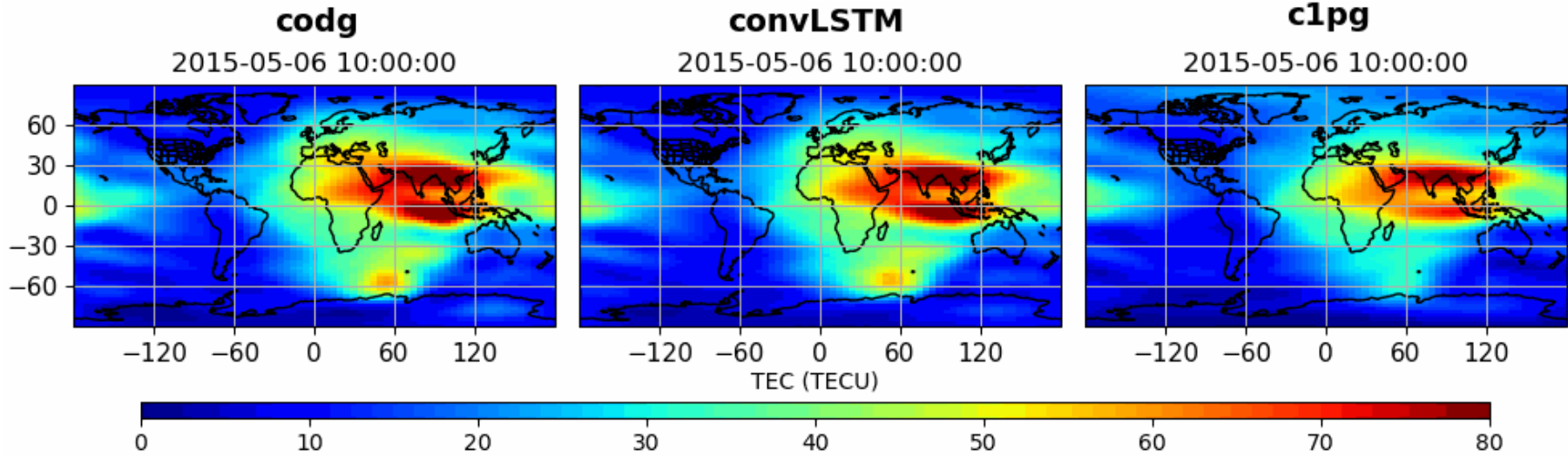
- Large-scale ionosphere patterns, such as EIA crest, are well-preserved;
- TEC enhancement (see red circles) is well reproduced from the convLSTM while the c1pg fails to capture it.



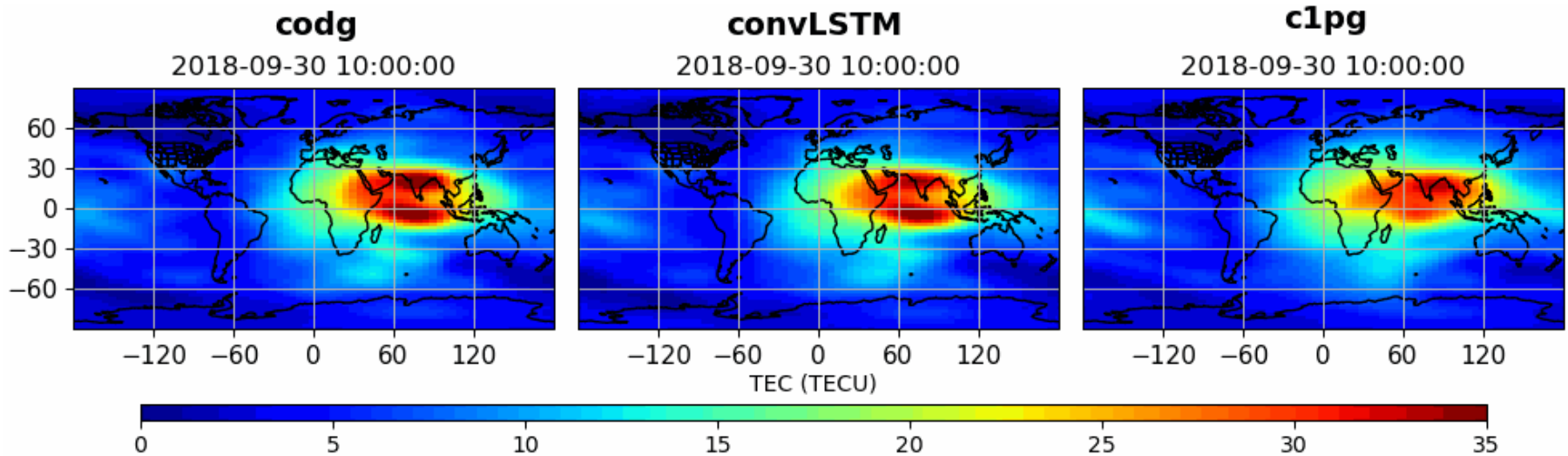
An example of the predicted global TEC maps with 1-hour interval during the main phase of one geomagnetic storm event (10:00-13:00 UT, May 6, 2015)

# Application I: Predicted Global TEC Maps with Lead Time of 24 Hours

Storm time:

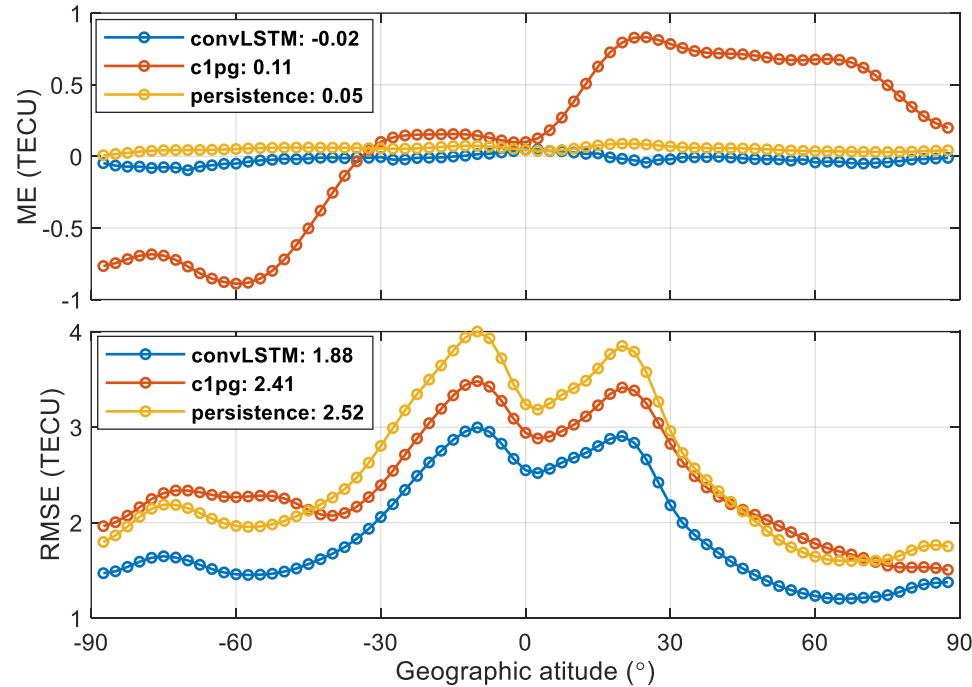


Quiet time:





# Application I: Statistical Evaluation



Latitudinal ME and RMSE errors of three prediction models on the testing set.

- There are **no systematic biases** in the TEC maps predicted by convLSTM and the persistence model.
- The convLSTM shows an **improved performance** than other two models over various latitudes.
- RMSE errors shows obvious **latitudinal dependence**

# Application II: Prediction of Storm-time High-latitude Irregularities

- **GNSS-derived ROTI maps description:**
  - Coverage: (45-90 N, 0-180 W)
  - Resolution: 10-minute interval, 1 by 1 degree
- **Data division:** training, validation and testing
- **Loss Function:** customized  $L_c$ , instead of conventional  $L_1$  or  $L_2$
- **Input features:** 12 historical ROTI maps (120 minutes)
- **Output features:** 6 future ROTI maps (60 minutes of lead time)

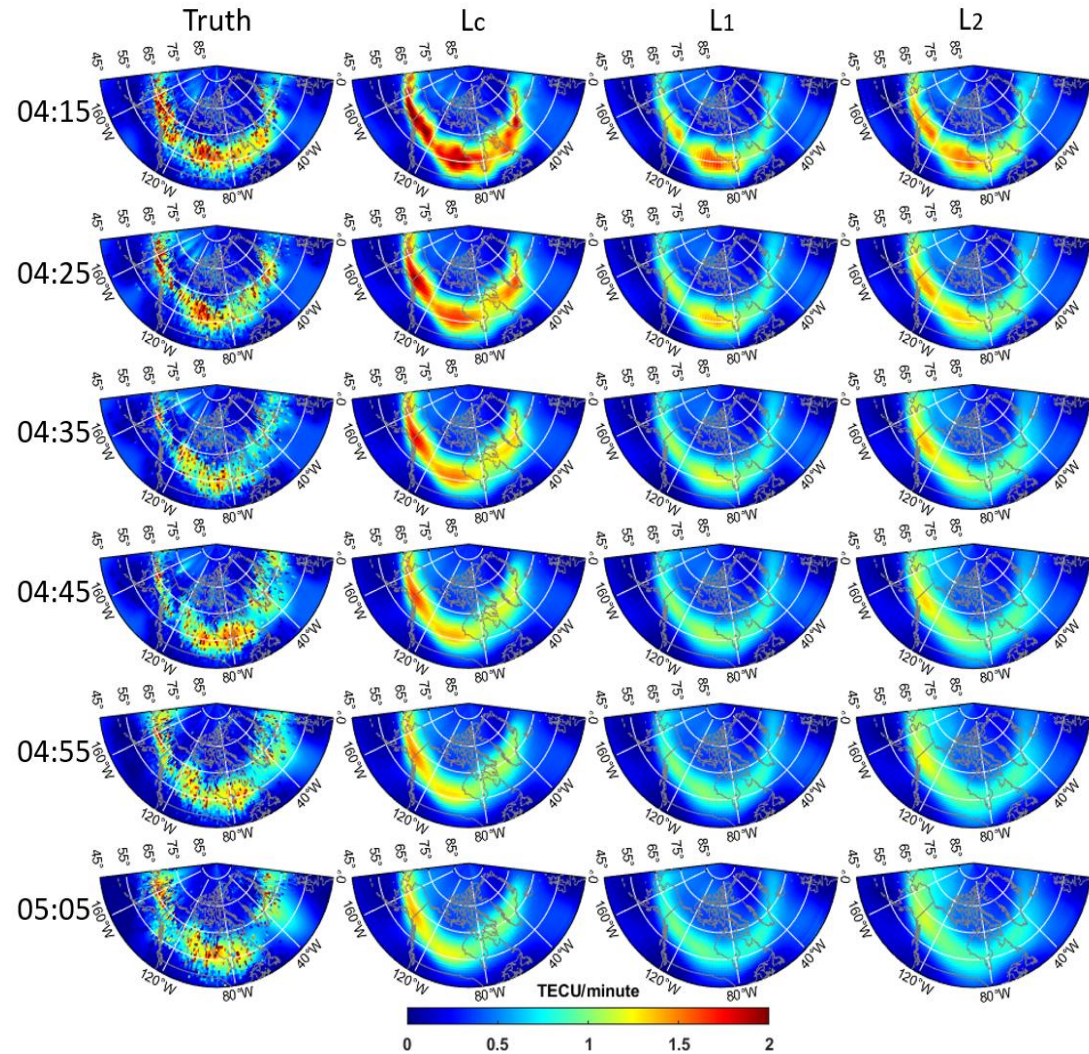
Data used	2015
Training (60%)	Jan 1-Aug 7
Validation (20%)	Aug 8-Oct 19
Testing (20%)	Oct 20-Dec 31

$$\begin{cases}
 L_c = \lambda_1 \cdot L_{w1} + \lambda_2 \cdot L_{w2} + \lambda_3 \cdot DP \\
 L_{w1} = \frac{1}{N} \sum_{i=1}^N w_i \cdot |x_i - \hat{x}_i| \\
 L_{w2} = \frac{1}{N} \sum_{i=1}^N w_i \cdot (x_i - \hat{x}_i)^2 \\
 DP = \max(|x_i - \hat{x}_i|)
 \end{cases}
 \quad
 w_i = \begin{cases}
 0.25, & x_i \leq 0.25 \\
 0.9, & 0.25 < x_i \leq 1 \\
 2.0, & 1 < x_i \leq 2 \\
 3.0, & x_i > 2
 \end{cases}$$

Customed  $L_c$  is designed to solve the problem caused by the imbalanced ROTI distribution

# Application II: Prediction of Storm-time ROTI Maps

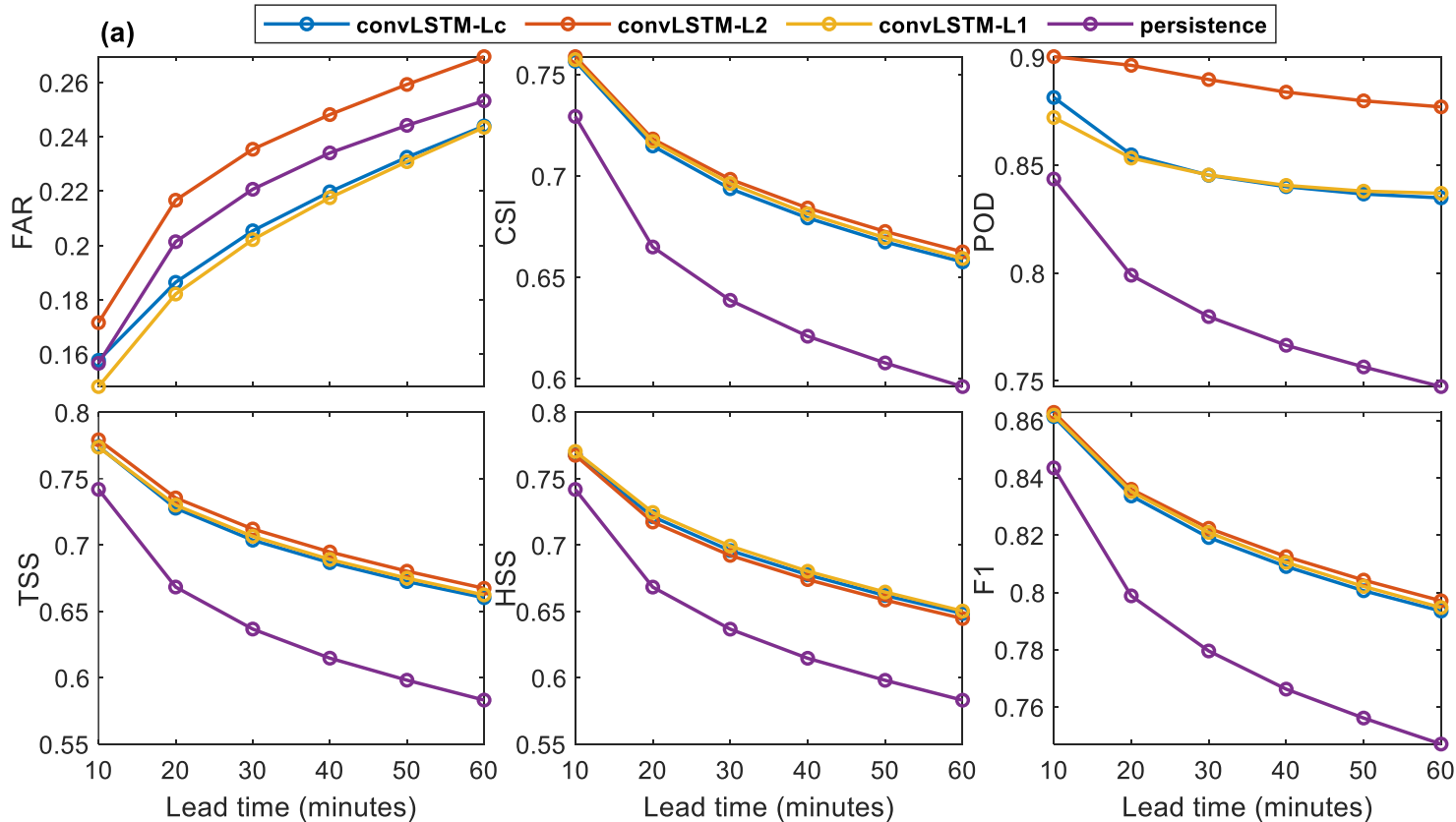
- A predicted example



Prediction example of ROTI maps over 6 prediction steps during the recovery phase (04:15-5:05 UT, December 21, 2015)

# Application II: Prediction of Storm-time ROTI Maps

- Statistical evaluation: **weak** ( $0.25 \leq ROTI < 0.9$ )

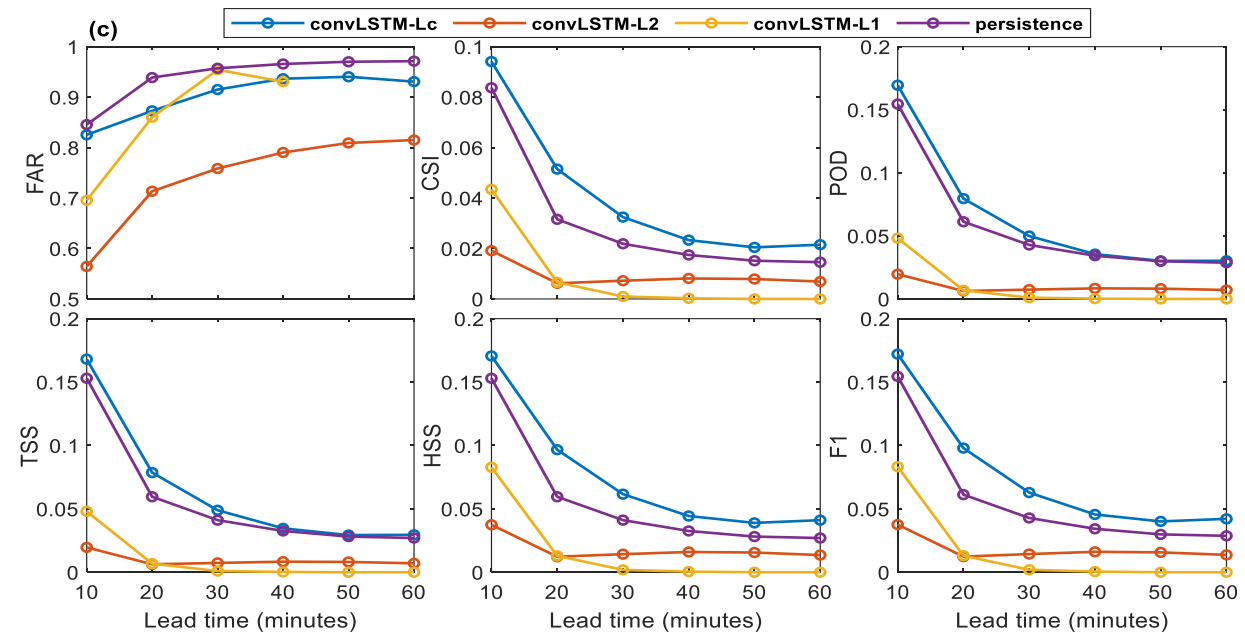
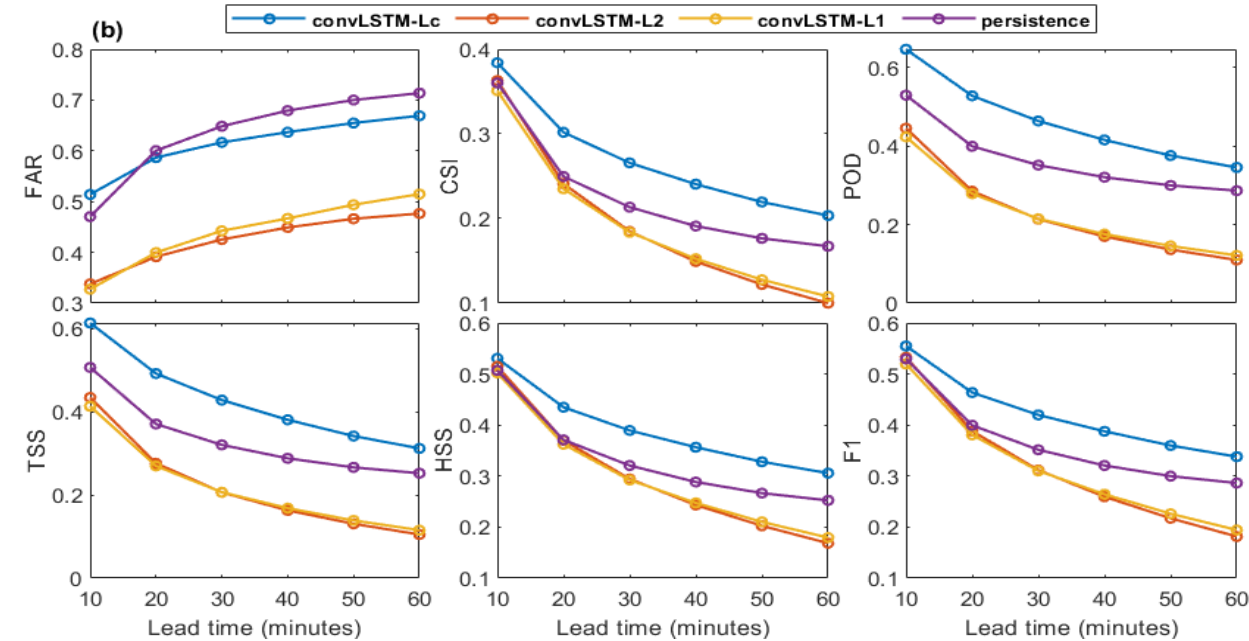


Prediction performance of convLSTM-Lc, convLSTM-L1, convLSTM-L2, and the persistence models under weak an ionospheric irregularity level

The convLSTM-Lc does **not have an advantage** in prediction of **weak** ionospheric irregularities when compared to convLSTM-L<sub>1</sub> and convLSTM-L<sub>2</sub> implementations.

# Application II: Prediction of Storm-time ROTI Maps

- Statistical evaluation: **moderate** ( $0.9 \leq ROTI < 2$ ) and **strong** ( $ROTI \geq 2$ )



Prediction performance under moderate (b) and strong (c) ionospheric irregularity levels.

The convLSTM-Lc implementation **shows better performance** than those from convLSTM-L<sub>1</sub>, convLSTM-L<sub>2</sub>, and persistence models in **predicting moderate and strong** ionospheric irregularities for all lead times tested.



# Conclusion

The convLSTM-based ML model to tackle two GNSS ionosphere applications.

- **ML + residual prediction:** prediction of daily global TEC maps. The developed model outperforms the c1pg and persistence model.
- **ML + Lc loss function:** prediction of high-latitude irregularities from GNSS-derived ROTI maps. The developed model outperforms the convLSTM-L1, convLSTM-L2 and persistence models.

# Future Work

- Incorporate solar wind and geomagnetic activity measurements into the model with the goal of improving the prediction performance.

**Liu, L.,** Morton, Y. J., and Liu, Y. (2021). Machine Learning Prediction of Storm-Time High-Latitude Ionospheric Irregularities From GNSS-Derived ROTI Maps. *Geophysical Research Letters*, 48(20), e2021GL095561.

**Liu, L.,** Morton, Y. J., and Liu, Y. (2021). Machine Learning-based Prediction of Daily Global Ionospheric TEC Maps. **To be submitted.**

# Acknowledgement

- This project is sponsored by DARPA (#DI 9AC00009 ) and NASA (#80NSSC21K1156) grants.
- Global TEC maps are available from CODE analysis center.
- The GNSS data used for ROTI calculation are from UNAVCO and CHAIN networks.

Thank you!